## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicat	ion )	PATENT APPLICATION
Inventor(s):	James F. Zucherman ) Ken Y. Hsu ) T. Wade Fallin ) Henry A. Klyce	- <u></u>
SC/Serial No.	: 08/778,093 )	
Filed:	January 2, 1997 )	
Title:	SPINE DISTRACTION IMPLANT ) AND METHOD )	
- -/	CERTIFICATE OF MAILING UNDE I hereby certify that this correspond United States Postal Service with sufficient p envelope addressed to assistant Commissioner 20231, on 41157 Sheldon R. Meyer, Reg. No. 27,860 Signature Date:	ence is being deposited in the ostage as first class mail in an
	DECLARATION FOR PATE	NT APPLICATION
citizenship are inventor (if on	e as stated below next to my name; I be e name is listed below), first and joint	that my residence, post office address and elieve that I am the original, first and sole inventor (if plural names are listed below) hich a patent is sought on the invention
	SPINE DISTRACTION IMPL	ANT AND METHOD
the specificat	ion of which (check applicable ones)	:
	is filed herewith;	
	X was filed with the ab No."	ove-identified "Filed" date and "SC/Serial
	was amended on (or a	mended through)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

or first inventor:	James F. Zucherman
(1) Residence:	3035 Price Street San Francisco. CA 94123
(1) Post Office Address:	231 Sandringham Road Piedmont. CA 94611
(1) Citizenship:	USA
(1) Inventor's signature;	mm F- Allen
(1) Date: 3/2 3/9	m t- Miller
(2) Full name of second joint inventor:	Ken Y. Hsu
(2) Residence:	52 Clarendon Avenue San Francisco. CA 94114
(2) Post Office Address:	231 Sandringham Road Piedmont, CA 94611
	USA
(2) Inventor's signature:	Cay, ch
(2) Date: \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	L 27, 1997
	*******************************

(3) Full name of second	
joint inventor:	T. Wade Fallin
(3) Residence:	210 East 200 South
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(3) Post Office Address: _	231 Sandringham Road Piedmont. CA 94611
(3) Citizenship:	USA
(3) Inventor's signature:	1 Wade Fall
	18, 1997
**************************************	*****************************
joint inventor:	Henry A. Klyce
(4) Residence:	231 Sandringham Road Piedmont, CA 94611
(4) Post Office Address: _	231 Sandringham Road Piedmont, CA 94611
(4) Citizenship:	USA (
(4) Inventor's signature:	Day M
(4) Date:	Feb 22, 997

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application		) PATENT APPLICATION
Inventor(s):	James F. Zucherman Ken Y. Hsu	) )
SC/Serial No.:	08/958,281	) )
Filed:	October 27, 1997	)
Title: SPINE DISTI	RACTION IMPLANT AND METHOD	)
As a below My residence I believe I a original, first and joi is claimed and for v	DECLARATION FOR C-I-P PATEN  named inventor, I hereby declare the post office address and citizenship arm the original, first and sole inventor.	is being deposited in the as first class mail in an atents, Washington, D.C.  (Attorney Signature)  T APPLICATION  at: are as stated below next to my name; for (if only one name is listed below), ad below) of the subject matter which cention of the present application:
The	specification of the present applicat	ion (check applicable ones):
x	is filed herewith; was filed with the above- No." (a copy of which is a was amended on (or amer	
		f the above-identified specification for ided by any amendment(s) referred to
		in the terminal Architecture and architecture of

I acknowledge the duty to disclose information which is material to the examination of the present application in accordance with Title 37, Code of Federal Regulations, §1.56.

This present application in part discloses and claims subject matter disclosed in, and I hereby claim the benefit under Title 35, United States Code §120 of any United States prior application(s) listed below:

(1) 08/778.093	January 2, 1997	Pending Pending
(SC/Serial No.)	(Filing Date)	(Status-pending)
(2)		
(SC/Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
		laims of the present application is not
		manner provided by the first paragraph e duty to disclose material information
· · · · · · · · · · · · · · · · · · ·	•	.56 which occurred between the filing
		or PCT international filing date of this
present application.	. 1)	in at any arm harmandadan ara tarra and
		ein of my own knowledge are true and re believed to be true, and further that
		willful false statements and the like so
		under §1001 of Title 18 of the United
		y jeopardize the validity of the present
application or any patent is	suing thereon.	
(1) Full name of sole	•	
or first inventor:	James F. Zucherman	
(1) Residence:	3035 Pierce Street	
	San Francisco, CA 9412	3
(1) Post Office Address:	231 Sandringham Road	
	Piedmont. CA 94611	
143 Mil.	110.4	
(1) Citizenship:	USA /	
(1) Inventor's signature:	Fun 1- 1111	my
(1) Data: 1/2 6/	(L)	
(1) Date: 11/26/	<i></i>	
*********	**************	******
(2) Full some of coord		
(2) Full name of second joint inventor:	Ken Y. Hsu	,
joineo	7,011 77 1104	
(2) Residence:		
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(2) Post Office Address: _	231 Sandringham Road	
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(2) Citizenship:	USA	·
(2) Inventor's signature: _	100	2
(2) Date:	126/97	
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application		) )	PATENT APPLICATION
Inventor(s):	James F. Zucherman Ken Y. Hsu Charles J. Winslow Henry A. Klyce	)	
SC/Serial No.:	09/175,645	)	
Filed:	October 20, 1998	)	
Title: SPINE	DISTRACTION IMPLAN	т ) )	
	DECLARATION FOR C-I	-P PATENT A	PPLICATION
My residence I believe I an original, first and joi is claimed and for w	n the original, first and so nt inventor (if plural name which a patent is sought o	citizenship are ole inventor (i es are listed be on the invention	as stated below next to my name; f only one name is listed below), elow) of the subject matter which n of the present application:
Title o	of invention and of prese	nt application:	
	SPINE DISTRA	CTION IMPLA	NT
The s	pecification of the preser	nt application	check applicable ones):
	_ is filed herewith	;	
X_	was filed with to No."	the above-ider	ntified "Filed" date and "SC/Serial
	was amended or	n (or amended	through)
			above-identified specification for by any amendment(s) referred to
the present applicat	ion in accordance with T application in part disclo	itle 37, Code ses and claim	h is material to the examination of of Federal Regulations, §1.56. s subject matter disclosed in, and le §120 of any United States prior
application(s) listed			2 a, sintou states phot
(1) 08/958,281	0	ctober 27, 19	Pending
(SC/Serial N	o.) (F	iling Date)	(Status)
(1) 08/778,093	Ja	nuary 2, 199	7 Pending
(SC/Serial No.)		iling Date)	(Status)

Insofar as the subject matter of each of the claims of the present application is not disclosed in the United States prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date(s) of the prior application(s) and the national or PCT international filing date of this present application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the present application or any patent issuing thereon.

or first inventor:	James F. Zucherman
(1) Residence:	3035 Pierce Street
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(2) Citizenship:	
(2) Inventor's signature: _	Cen 7, V2
(2) Date:	1/7/99
*******	• • • • • • • • • • • • • • • • • • • •
3) Full name of second joint inventor:	Charles J. Winslow
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(3) Citizenship:	
(3) Inventor's signature: _	Charles Winter
(3) Date:12/7	98 0
*******	***********
	· · · · · · · · · · · · · · · · · · ·
4) Full name of second joint inventor:	Henry A. Klyce
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	Teamone CA 94011
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(4) Citizenship:	USA X/h
(4) Inventor's signature: _	1 your
(4) Date:	Jy 6, 1998
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